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1753

PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	09/753,433
		Filing Date	01/02/2001
		First Named Inventor	J. D. Westwood
		Examiner Name	Rodney Glenn McDonald
		Group Art Unit	1753
Total Number of Pages in This Submission	11 + postcard	Attorney Docket Number	SJO990037US1

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Request for Drawing Amendment <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO Form 1449 <input type="checkbox"/> (no.) cited references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> PTO Form 1533 <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Checklist and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <b>POSTCARD</b> <hr/> <hr/> <hr/>
Remarks:		

#### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Ervin F. Johnston Reg. No. 20,190
Signature	
Date	October 6, 2003

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: October 6, 2003

Typed or printed name	Ervin F. Johnston
Signature	
	Date
	October 6, 2003

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**PE**  
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**TC 1100**

## FEES TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective November 10, 1998.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

Complete If Known

Application Number **09/753,433**

Filing Date **01/02/2001**

First Named Inventor **Westwood**

Examiner Name **Rodney Glenn McDonald**

Group / Art Unit **1753**

**TOTAL AMOUNT OF PAYMENT** **(\$ 110.00)**

Attorney Docket No. **SJO990037US1**

**METHOD OF PAYMENT (check one)**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **09-0442**  
Deposit Account Name **International Business Machines Corp.**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17       Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

Payment Enclosed:

Check     Money Order     Other

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for response within first month	
116	410	Extension for response within second month	
117	930	Extension for response within third month	
118	1,450	Extension for response within fourth month	
128	1,970	Extension for response within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive an unavoidably abandoned application	
141	1,300	Petition to revive unintentionally abandoned application	
142	1,300	Utility issue fee (or reissue)	
143	470	Design issue fee	
144	630	Plant issue fee	
122	130	Petitions to the Commissioner	
126	180	Submission of Information Disclosure Stmt	
146	750	Filing a submission after final rejection (37 CFR 1.129(a))	
179	750	Request for continued examination (RCE) (37 CFR 1.114)	

**SUBTOTAL (1) (\$)**

**2. EXTRA CLAIM FEES**

Extra Fee from Claims below	Fee Paid		
Total Claims - 20** = <input type="text"/> x 18 = <input type="text"/>			
Independent Claims - 3** = <input type="text"/> x 84 = <input type="text"/>			
Multiple Dependent Claims <input type="text"/> x <input type="text"/> = <input type="text"/>			

Other fee (specify) **Terminal Disclaimer** **110.00**

Other fee (specify) **SUBTOTAL (3) (\$)** **110.00**

**\*\* or number previously paid, if greater; For Reissues, see below**

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$)

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim  
\*\* Reissue independent claims over original patent  
\*\* Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**

**SUBMITTED BY** **COMPLETE (if applicable)**

Typed or Printed Name **Ervin F. Johnston** Reg. Number **20,190**

Signature **Ervin F. Johnston** Date **October 6, 2003**



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
J. D. Westwood ) Group Art Unit: 1753  
Serial No.: 09/753,433 ) Examiner: Rodney Glenn McDonald  
Filed: 01/02/2001 ) Atty Docket No.: SJO990037US1  
For: METHOD OF MAKING NiFeCo-O-N OR )  
NiFeCo-N FILMS FOR SHIELDS AND/ )  
OR POLES OF A MAGNETIC HEAD )

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT**

In response to the Office Action mailed 07/16/2003 please amend the application as follows.